



04-10-07

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PTO/SB/21 (09-06)  
Approved for use through 03/31/2007. OMB 0651-0031  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

28

Application Number

10/533,178-Conf. #7157

Filing Date

April 29, 2005

First Named Inventor

Egil Pedersen

Art Unit

3679

Examiner Name

J. M. Hewitt

Attorney Docket Number

17239/003001

## ENCLOSURES (Check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☒ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/  
Incomplete Application

☐ Reply to Missing Parts under  
37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

☐ After Allowance Communication  
to TC

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
Identify below):

Substitute Specification (5 pages)  
Annotated version of the specification (5  
pages)  
Certificate of Express Mailing  
(1 page)  
Return Receipt Postcard  
Copies of IDS Citations

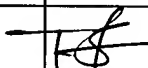
Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

OSHA · LIANG LLP

Signature

 #45,079

Printed name

Jonathan P. Osha THOMAS SCHERER

Date

April 9, 2007

Reg. No.

33,986



PTO/SB/17 (02-07)  
Approved for use through 02/28/2007. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b>  <b>FEE TRANSMITTAL</b> <b>For FY 2007</b>		<b>Complete if Known</b>	
		Application Number	10/533,178-Conf. #7157
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	April 29, 2005
		First Named Inventor	Egil Pedersen
		Examiner Name	J. M. Hewitt
TOTAL AMOUNT OF PAYMENT		Art Unit	3679
(\$)		Attorney Docket No.	17239/003001
180.00			

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-0591 Deposit Account Name: Osha · Liang LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**FEE CALCULATION**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

Fee Description	Small Entity	
	Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	
3	- 20 =	x	=	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
HP = highest number of total claims paid for, if greater than 20.					
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>		
1	- 3 =	x	=		
HP = highest number of independent claims paid for, if greater than 3.					

**3. APPLICATION SIZE FEE**  
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
	- 100 =	/50	(round up to a whole number) x	=

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00

<b>SUBMITTED BY</b>			
Signature	<u>[Signature]</u> # <u>45,079</u>	Registration No. (Attorney/Agent)	33,986
Name (Print/Type)	<u>Jonathan P. Osha</u> <u>THOMAS SCHLICK</u>	Telephone	(713) 228-8600
		Date	April 9, 2007



Application No. (if known): 10/533,178

Attorney Docket No.: 17239/003001

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EM882598315US in an envelope addressed to:

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on April 9, 2007  
Date

Signature

Sophie M. Bolt

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal (1 page)

Reply under 37 C.F.R. § 1.111 (to Office Action dated January 8, 2007)  
(10 pages)

- Attachments:

- Substitute Specification (5 pages)
- Annotated version of the specification (5 pages)

Amendment Transmittal (1 page)

IDS (Citation) by Applicant (1 Reference) (1 page)

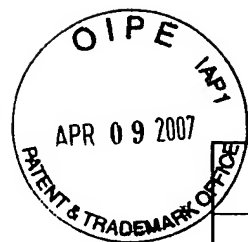
Information Disclosure Statement (2 pages)

Fee Transmittal (1 page)

Payment by credit card. Form PTO-2038 is attached (1 page)

Charge \$180.00 to credit card

Copies of IDS Citations



# AMENDMENT TRANSMITTAL LETTER

Docket No.  
17239/003001

Application No.  
10/533,178-Conf. #7157

Filing Date  
April 29, 2005

Examiner  
J. M. Hewitt

Art Unit  
3679

Applicant(s): Egil Pedersen

Invention: COUPLING MEMBER FOR USE IN A SYSTEM WITH FLOWING FLUID COMPRISING INTEGRAL LOCKING TONQUES FOR ENGAGING WING E.G. AN ANNULAR GROOVE

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	3	- 20 =	0	x 50.00	0.00
Independent Claims	1	- 3 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): 1806: Submission of an Information Disclosure Statement					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					180.00

☒ Large Entity

☐ Small Entity

☐ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

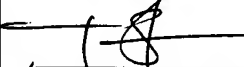
☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591  
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

 #45,079  
Jonathan P. Osha THOMAS SCHLARTER  
Attorney/Agent Reg. No.: 33,986

Dated: April 9, 2007

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